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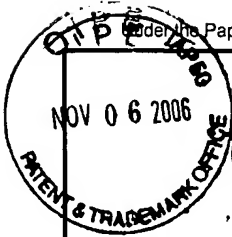


PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

4 pages

Application Number

10/531,411

Filing Date

November 4, 2005

First Named Inventor

Wenyuan SHI

Group Art Unit

1645

Examiner Name

Sarvamangala J N Devi

Attorney Docket Number

UCLA-007

ENCLOSURES (check all that apply)



Fee Transmittal Form



Fee Attached



Amendment / Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Documents



Response to Missing Parts/
Incomplete Application



Response to Missing Parts
under 37 CFR 1.52 or 1.53



Assignment Papers
(for an Application)



Drawing(s)



Licensing-related Papers



Petition



Petition to Convert to a
Provisional Application



Power of Attorney, Revocation
Change of Correspondence
Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s)



After Allowance Communication
to Group



Appeal Communication to Board
of Appeals and Interferences



Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)



Proprietary Information



Status Letter



Other Enclosure(s) (please
identify below):

Form PTO/SB/08a
5 References
Return Postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Signing Attorney/Agent
(Reg. No.)

PAULA A. BORDEN, 42,344
BOZICEVIC, FIELD & FRANCIS, LLP

Signature


Date

November 6, 2006

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| | | |
|---|--|------------------------|
| <p align="center">INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(e)</p> <p>Address to: Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p>  | Attorney Docket | UCLA-007 |
| | First Named Inventor | SHI, WENYUAN |
| | Application Number | 10/531,411 |
| | Confirmation No. | 8124 |
| | Filing Date | November 4, 2005 |
| | Group Art Unit | 1645 |
| | Examiner Name | DEVI, SARVAMANGALA J N |
| | Title: <i>"MONOCLONAL ANTIBODIES SPECIFIC FOR CARIOGENIC BACTERIA"</i> | |

Sir:

Applicants submit herewith patents and/or publications which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. While this Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is "prior art" for this invention unless specifically designated as such. A listing of patents and/or publications is shown on enclosed Form PTO-SB/08A and a copy of each patent and/or publication is also enclosed.

Each item of information contained in the Information Disclosure Statement filed herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement (37 C.F.R. 1.97(e)(1)). A copy of the communication is enclosed for the Examiner's convenience.

The Examiner is requested to make the citations listed on the enclosed PTO-SB/08A of record in this application. Applicants would appreciate the Examiner initialing and returning the initialed copy of form PTO-SB/08A, indicating the references have been considered and made of record herein.

No fee is believed due as this statement is being submitted within three months of the mailing date of the enclosed foreign communication. However, if it is determined that fees are required, the Commissioner is hereby authorized to charge any necessary fees associated with this communication or credit any overpayment to Deposit Account No. 50-0815, Order No. UCLA-007.

Respectfully submitted,

BOZICEVIC, FIELD & FRANCIS LLP



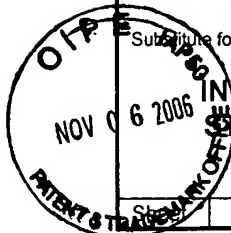
By: _____

Paula A. Borden, Reg. No. 42,344

Date: Nov. 6, 2006

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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of

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Complete if Known

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|------------------------|-----------------------|
| Application Number | 10/531,411 |
| Filing Date | November 4, 2005 |
| First Named Inventor | Wenyuan SHI |
| Art Unit | 1645 |
| Examiner Name | Sarvamangala J N Devi |
| Attorney Docket Number | UCLA-007 |

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

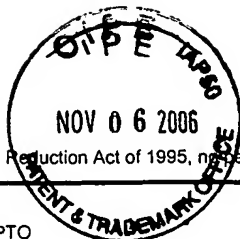
| Examiner Initials ¹ | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ^o |
|--------------------------------|-----------------------|---|--------------------------------|--|---|----------------|
| | | Country Code ³ –Number ⁴ –Kind Code ⁵ (if known) | | | | |
| | | WO 00/73492 A1 | 12-07-2000 | RALLS and SIMONSON | | |
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| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

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| Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | Complete if Known | | |
| | | Application Number | 10/531,411 | |
| | | Filing Date | November 4, 2005 | |
| | | First Named Inventor | Wenyuan SHI | |
| | | Art Unit | 1645 | |
| | | Examiner Name | Sarvamangala J N Devi | |
| Sheet | 2 | 2 | Attorney Docket Number | UCLA-007 |

| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|--------------------------|---|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| | | BABAHMADY et al., (1998), "Ecological Study of Streptococcus mutans, Streptococcus sobrinus and Lactobacillus spp. at Sub-Sites Approximal Dental Plaque from Children", Caries res. 32:51-58 | |
| | | GONZALEZ-CABEZAS et al., (2002), "Distribution of cariogenic bacteria in carious lesions around tooth-colored restorations", American Journal of Dentistry. 15: 248-251 | |
| | | GU et al., (2002) "Analyses of Streptococcus mutans in Saliva with Species-Specific Monoclonal Antibodies", Hybridoma and Hybridomics. 21: 225-232 | |
| | | GU et al., (2002) "Production and Characterization of Species-Specific Monoclonal Antibodies against Actinomyces naeslundii and Lactobacillus casei", Hybridoma and Hybridomics. 21: 469-478 | |
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| Examiner Signature | | Date Considered | |
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¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

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